

(l)(a) Statement showing Shareholding Pattern

| Name of the Company: | | EMMBI INDUSTRIES LIMITED | | | | | | |
|---|--|--------------------------------------|--|--|---|------------------------------------|--|--|
| Scrip Code, Name of the scrip, class of security: | | 533161 - EMMBI - EQUITY | | | | | | |
| Quarter ended: | | 30.09.2015 | | | | | | |
| Partly paid-up shares:- | | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | | As a % of total no. of shares of the company | | | |
| Held by Promoter / Promoters Group | | 0 | 0.00 | | 0.00 | | | |
| Held by public | | 0 | 0.00 | | 0.00 | | | |
| Total | | 0 | 0.00 | | 0.00 | | | |
| Outstanding convertible securities:- | | No. of outstanding securities | As a % of total No. of outstanding convertible securities | | As a % of total no. of shares of the company, assuming full conversion of the convertible securities | | | |
| Held by Promoter / Promoters Group | | 0 | 0.00 | | 0.00 | | | |
| Held by public | | 0 | 0.00 | | 0.00 | | | |
| Total | | 0 | 0.00 | | 0.00 | | | |
| Warrants:- | | No. of warrants | As a % of total no. of warrants | | As a % of total no. of shares of the company, assuming full conversion of warrants | | | |
| Held by Promoter / Promoters Group | | 0 | 0.00 | | 0.00 | | | |
| Held by public | | 0 | 0.00 | | 0.00 | | | |
| Total | | 0 | 0.00 | | 0.00 | | | |
| Total paid-up capital of the company assuming full conversion of warrants and convertible securities | | 17690250 | | | | | | |
| Face Value 10.00 | | | | | | | | |
| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialised form | Total shareholder as a percentage of total number of shares | | Shares pledged or otherwise encumbered | |
| | | | | | As a percentage of (A+B) (VI) | As a percentage of (A+B+C+D) (VII) | Number of shares (VIII) | As a percentage (IX) = (VIII)/(IV)*100 |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |
| (A) | Shareholding of Promoter and Promoter Group | | | | | | | |
| 1 | Indian | | | | | | | |
| (a) | Individuals/Hindu Undivided Family | 16 | 7471099 | 7471099 | 42.2329 | 42.2329 | 0 | 0.0000 |
| (b) | Central Government/State Government(s) | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (c) | Bodies Corporate | 2 | 2681500 | 2681500 | 15.1581 | 15.1581 | 0 | 0.0000 |
| (d) | Financial Institutions / Banks | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e) | Any Other (specify) | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e)(i) | | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e)(ii) | | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| | Sub Total (A)(1) | 18 | 10152599 | 10152599 | 57.3909 | 57.3909 | 0 | 0.0000 |

| | | | | | | | | |
|------------|---|-------------|-----------------|-----------------|-----------------|-----------------|----------|---------------|
| 2 | Foreign | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (b) | Bodies Corporate | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (c) | Institutions | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (d) | Qualified Foreign Investor | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e) | Any Other (specify) | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e)(i) | | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| (e)(ii) | | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | |
| | Sub Total (A)(2) | 0 | 0 | 0 | 0.0000 | 0.0000 | 0 | 0.0000 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | 18 | 10152599 | 10152599 | 57.3909 | 57.3909 | 0 | 0.0000 |
| (B) | Public shareholding | | | | | | | |
| 1 | Institutions | | | | | | | |
| (a) | Mutual Funds/UTI | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (b) | Financial Institutions / Banks | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (c) | Central Government/State Government(s) | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (g) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (h) | Qualified Foreign Investor | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (i) | Any Other (specify) | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (i)(i) | | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (i)(ii) | | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| | Sub Total (B) (1) | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| 2 | Non-institutions | | | | | | | |
| (a) | Bodies Corporate | 173 | 1078560 | 1078560 | 6.0969 | 6.0969 | | |
| (b)(i) | Individuals - shareholders holding nominal share capital up to Rs 1 Lakh | 6130 | 3759312 | 3759302 | 21.2508 | 21.2508 | | |
| (b)(ii) | Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh | 72 | 2478042 | 2478042 | 14.0080 | 14.0080 | | |
| (c) | Qualified Foreign Investor | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| (d) | Any other (specify) | | | | | | | |
| d-i | NRI Rep | 32 | 133868 | 133868 | 0.7567 | 0.7567 | | |
| d-ii | NRI Non -Rept | 13 | 87869 | 87869 | 0.4967 | 0.4967 | | |
| d-iii | OCB | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| d-iv | Foreign Bodies | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| d-v | Foreign National | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| d-vi | Any Other | 0 | 0 | 0 | 0.0000 | 0.0000 | | |
| | Sub Total (B)(2) | 6420 | 7537651 | 7537641 | 42.6091 | 42.6091 | | |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) | 6420 | 7537651 | 7537641 | 42.6091 | 42.6091 | N.A | N.A |
| | Total (A)+(B) | 6438 | 17690250 | 17690240 | 100.0000 | 100.0000 | | |

| | | | | | | | | |
|------------|---|-------------|-----------------|-----------------|---------------|-----------------|------------|---------------|
| <u>(C)</u> | Shares held by custodians and against which Depository Receipts have been issued | | | | | | | |
| i | Promoter and Promoter Group | 0 | 0 | 0 | 0 | 0.0000 | N.A | N.A |
| ii | Public | 0 | 0 | 0 | 0 | 0.0000 | N.A | N.A |
| | Sub Total (C) | 0 | 0 | 0 | 0.0000 | 0.0000 | N.A | N.A |
| <u>(D)</u> | Others | 0 | 0 | 0 | 0.0000 | 0.0000 | N.A | N.A |
| | GRAND TOTAL (A)+(B)+(C)+(D) | 6438 | 17690250 | 17690240 | 0.0000 | 100.0000 | 0 | 0.0000 |

| | | | |
|-------------------|--|-------------------|----------------|
| <u>(1)(b)</u> | Promoter and Promoter Group | 10,152,599 | 57.3909 |
| <u>(1)(c)(i)</u> | Public holding more than 1% of the total number of shares | 799,122 | 4.5173 |
| <u>(1)(c)(ii)</u> | Public holding more than 5% of the total number of shares | 0 | 0.0000 |
| <u>(ii)(a)</u> | Depository Receipts (DRs) | 0 | 0.0000 |
| <u>(ii)(b)</u> | Holding of Depository Receipts (DRs) more than 1% of the total number of shares | 0 | 0.0000 |
| <u>(1)(d)</u> | Locked in Shares | 240,000 | 1.3567 |

(I)(b) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"** 30-Sep-2015

| Sr. No. | Name of the shareholder | Details of Shares held | | Encumbered shares (*) | | | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|------------------------------------|------------------------|-----------------------------------|-----------------------|----------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | Number of shares held | As a % of grand total (A)+(B)+(C) | Number | As a percentage | As a % of grand total (A)+(B)+(C)+(D) of sub clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | |
| (I) | (II) | (III) | (IV) | (V) | (VI) = (V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | MAKARAND MORESHWAR APPALWAR | 3,476,550 | 19.6524 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 19.6524 |
| 2 | EMMBI LABORATORIES PRIVATE LIMITED | 1,631,500 | 9.2226 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 9.2226 |
| 3 | RINKU MAKRAND APPALWAR | 1,450,199 | 8.1977 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 8.1977 |
| 4 | MAITHILI AGROTECH PRIVATE LIMITED | 1,050,000 | 5.9355 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 5.9355 |
| 5 | RINKU MAKRAND APPALWAR | 800,000 | 4.5223 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 4.5223 |
| 6 | MAKRAND MORESHWAR APPALWAR | 800,000 | 4.5223 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 4.5223 |
| 7 | MAITHILI APPALWAR | 500,000 | 2.8264 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 2.8264 |
| 8 | MITRAVINDA MORESHWAR APPALWAR | 194,000 | 1.0966 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 1.0966 |
| 9 | MORESHWAR BALWANT APPALWAR | 118,725 | 0.6711 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.6711 |
| 10 | MAITHILI MAKRAND APPALWAR | 61,750 | 0.3491 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.3491 |
| 11 | AVINASH R LADDHA | 52,150 | 0.2948 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.2948 |
| 12 | PRASHANT KAILASHCHANDRA LOHIYA | 6,250 | 0.0353 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0353 |
| 13 | KAILASHCHANDRA BALCHAND LOHIA | 4,550 | 0.0257 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0257 |
| 14 | SARLA KAILASHCHANDRA LOHIA | 3,000 | 0.0170 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0170 |
| 15 | SANJAY R RATHI | 2,100 | 0.0119 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0119 |
| 16 | SANGEETA S RATHI | 1,050 | 0.0059 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0059 |
| 17 | SUSHILADEVI R RATHI | 750 | 0.0042 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0042 |
| 18 | M. B. APPALWAR | 25 | 0.0001 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0001 |
| TOTAL | | 10,152,599 | 57.3909 | 0 | 0.0000 | 0.0000 | 0 | 0 | 0 | 0 | 57.3909 |

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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares 30-Sep-2015

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-------------------------------------|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | SANJAY GULABCHAND BAFNA | 361,044 | 2.0409 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 2.0409 |
| 2 | J M GLOBAL EQUITIES PRIVATE LIMITED | 238,078 | 1.3458 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 1.3458 |
| 3 | RONAK GUPTA | 200,000 | 1.1306 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 1.1306 |
| | TOTAL | 799,122 | 4.5173 | 0 | 0 | 0 | 0 | 4.5173 |

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

30-Sep-15

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|------------------|--|-------------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| TOTAL | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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(I)(d) Statement showing details of locked-in shares

30-Sep-2015

| Sr. No. | Name of the shareholder | Lock in date | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------------|--------------|----------------------------|--|
| 1 | MAKARAND MORESHWAR APPALWAR | 10-Nov-2015 | 240,000 | 1.3567 |
| TOTAL | | | 240,000 | 1.3567 |

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(II)(a) Statement showing details of Depository Receipts (DRs)

30-Sep-2015

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above} |
|--------------|---|---------------------------|---|---|
| TOTAL | | 0 | 0 | 0 |

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter / promoter group' are in excess of 1% of the total number of shares

30-Sep-2015

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
| TOTAL | | 0 | 0 | 0 |