

(I)(a) Statement showing Shareholding Pattern

Name of the Company:		EMMBI INDUSTRIES LIMITED						
Scrip Code, Name of the scrip, class of security:		533161 - EMMBI - EQUITY						
Quarter ended:		31.12.2013						
Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares		As a % of total no. of shares of the company			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total		0	0.00		0.00			
Outstanding convertible securities:-		No. of outstanding securities	As a % of total No. of outstanding convertible securities		As a % of total no. of shares of the company, assuming full conversion of the convertible securities			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total		0	0.00		0.00			
Warrants:-		No. of warrants	As a % of total no. of warrants		As a % of total no. of shares of the company, assuming full conversion of warrants			
Held by Promoter / Promoters Group		0	0.00		0.00			
Held by public		0	0.00		0.00			
Total		0	0.00		0.00			
Total paid-up capital of the company assuming full conversion of Face Value 10.00								
Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total sharehold as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C+D) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/Hindu Undivided Family	7	6436500	6436500	36.3844	36.3844	0	0.0000
(b)	Central Government/State Government(s)	0	0	0	0.0000	0.0000	0	
(c)	Bodies Corporate	2	2675000	2675000	15.1213	15.1213	0	0.0000
(d)	Financial Institutions / Banks		0	0	0.0000	0.0000	0	
(e)	Any Other (specify)	8	127450	127450	0.7205	0.7205	0	0.0000
(e)(i)		0	0	0	0.0000	0.0000	0	
(e)(ii)		0	0	0	0.0000	0.0000	0	
	Sub Total (A)(1)	17	9238950	9238950	52.2262	52.2262	0	0.0000

2	Foreign							
(a)	Individuals)	0	0	0	0.0000	0.0000	0	
(b)	Bodies Corporate	0	0	0	0.0000	0.0000	0	
(c)	Institutions	0	0	0	0.0000	0.0000	0	
(d)	Qualified Foreign Investor	0	0	0	0.0000	0.0000	0	
(e)	Any Other (specify)	0	0	0	0.0000	0.0000	0	
(e)(i)		0	0	0	0.0000	0.0000	0	
(e)(ii)		0	0	0	0.0000	0.0000	0	
	Sub Total (A)(2)	0	0	0	0.0000	0.0000	0	0.0000
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	17	9238950	9238950	52.2262	52.2262	0	0.0000
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	0	0	0	0.0000	0.0000		
(b)	Financial Institutions / Banks	0	0	0	0.0000	0.0000		
(c)	Central Government/State Government(s)	0	0	0	0.0000	0.0000		
(d)	Venture Capital Funds	0	0	0	0.0000	0.0000		
(e)	Insurance Companies	0	0	0	0.0000	0.0000		
(f)	Foreign Institutional Investors	1	66601	66601	0.3765	0.3765		
(g)	Foreign Venture Capital Investors	0	0	0	0.0000	0.0000		
(h)	Qualified Foreign Investor	0	0	0	0.0000	0.0000		
(i)	Any Other (specify)	0	0	0	0.0000	0.0000		
(i)(i)		0	0	0	0.0000	0.0000		
(i)(ii)		0	0	0	0.0000	0.0000		
	Sub Total (B) (1)	1	66601	66601	0.3765	0.3765		
2	Non-institutions							
(a)	Bodies Corporate	173	907375	907375	5.1292	5.1292		
(b)(i)	Individuals - shareholders holding nominal share capital up to Rs 1 Lakh	6555	3783885	3783875	21.3897	21.3897		
(b)(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	93	3573122	3573122	20.1983	20.1983		
(c)	Qualified Foreign Investor	0	0	0	0.0000	0.0000		
(d)	Any other (specify)							
d-i	NRI Rep	38	105290	105290	0.5952	0.5952		
d-ii	NRI Non -Rept	8	15027	15027	0.0849	0.0849		
d-iii	OCB	0	0	0	0.0000	0.0000		
d-iv	Foreign Bodies	0	0	0	0.0000	0.0000		
d-v	Foreign National	0	0	0	0.0000	0.0000		
d-vi	Any Other	0	0	0	0.0000	0.0000		
	Sub Total (B)(2)	6867	8384699	8384689	47.3973	47.3973		
	Total Public Shareholding (B)=(B)(1)+(B)(2)	6868	8451300	8451290	47.7738	47.7738	N.A	N.A
	Total (A)+(B)	6885	17690250	17690240	100.0000	100.0000		

<u>(C)</u>	Shares held by custodians and against which Depository Receipts have been issued							
<u>i</u>	Promoter and Promoter Group	0	0	0	0	0.0000	N.A	N.A
<u>ii</u>	Public	0	0	0	0	0.0000	N.A	N.A
	Sub Total (C)	0	0	0	0.0000	0.0000	N.A	N.A
<u>(D)</u>	Others	0	0	0	0	0.0000	N.A	N.A
	GRAND TOTAL (A)+(B)+(C)+(D)	6885	17690250	17690240	0.0000	100.0000	0	0.0000

<u>(1)(b)</u>	Promoter and Promoter Group	92,38,950	52.2250
<u>(1)(c)(i)</u>	Public holding more than 1% of the total number of shares	9,34,876	5.2840
<u>(1)(c)(ii)</u>	Public holding more than 5% of the total number of shares	0	0.0000
<u>(ii)(a)</u>	Depository Receipts (DRs)	0	0.0000
<u>(ii)(b)</u>	Holding of Depository Receipts (DRs) more than 1% of the total number of shares	0	0.0000
<u>(1)(d)</u>	Locked in Shares	2,40,000	1.3570

[MAINMENU](#)

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" 31-Dec-2013

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C)+(D) of sub clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	MAKRAND MORESHWAR APPALWAR	3398000	19.2080	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	19.2080
2	EMMBI LABORATORIES PVT LTD	1625000	9.1860	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	9.1860
3	RINKU MAKRAND APPALWAR	1125750	6.3640	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	6.3640
4	MAITHILI AGROTECH PRIVATE LIMITED	1050000	5.9350	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	5.9350
5	RINKU MAKRAND APPALWAR	800000	4.5220	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	4.5220
6	MAKRAND MORESHWAR APPALWAR	800000	4.5220	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	4.5220
7	MITRAVINDA MORESHWAR APPALWAR	194000	1.0970	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	1.0970
8	MORESHWAR BALWANT APPALWAR	118725	0.6710	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.6710
9	MAITHILI MAKRAND APPALWAR	61750	0.3490	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.3490
10	AVINASH R LADDHA	48000	0.2710	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.2710
11	PRASHANT KAILASHCHANDRA LOHIYA	6250	0.0350	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0350
12	KAILASHCHANDRA BALCHAND LOHIA	4550	0.0260	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0260
13	SARLA KAILASHCHANDRA LOHIA	3000	0.0170	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0170
14	SANJAY R RATHI	2100	0.0120	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0120
15	SANGEETA S RATHI	1050	0.0060	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0060
16	SUSHILADEVI R RATHI	750	0.0040	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0040
17	M. B. APPALWAR	25	0.0000	0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TOTAL		9238950	52.2250	0	0.0000	0.0000	0	0	0	0	52.2250

[MAINMENU](#)

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

31-Dec-2013

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SANJAY GULABCHAND BAFNA	749238	4.235	0.0000	0.0000	0.0000	0.0000	4.2350
2	ADARSH ZACHARIAS AUGUSTINE	185638	1.049	0.0000	0.0000	0.0000	0.0000	1.0490
TOTAL		934876	5.284	0	0	0	0	5.284

[MAINMENU](#)

(I)(d) Statement showing details of locked-in shares

31-Dec-2013

Sr. No.	Name of the shareholder	Lock in date	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above}
1	MAKARAND MORESHWAR APPALWAR	10-Nov-2015	240000	1.3570
TOTAL			240000	1.357

[MAINMENU](#)

(II)(a) Statement showing details of Depository Receipts (DRs)

31-Dec-2013

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)+(D) indicated in Statement at para (I)(a) above}
TOTAL		0	0	0

